

Wharton School and Institute for Environmental Studies
BUSINESS & ENVIRONMENT SEMINAR SERIES

Present

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Chair of Regulatory Policy Program
John F. Kennedy School of Government
Harvard University

Management-Based Regulation: Prescribing Private Management to Achieve Public Goals

This seminar will examine "management-based regulation," which directs regulated organizations to engage in a planning process that aims toward the achievement of public goals, offering firms flexibility in how they achieve these goals. This paper develops a framework for assessing conditions for using management-based regulation as opposed to the more traditional technology-based or performance-based regulation. Drawing on case studies of management-based regulation in the areas of food safety, industrial safety, and environmental protection, the seminar will show how management-based regulation can be an effective strategy when regulated entities are heterogeneous and regulatory outputs are relatively difficult to monitor. In addition to analyzing conditions for the use of management-based regulation, the presentation will assess the range of choices regulators confront in designing management-based regulations. Management-based regulation requires a far more complex intertwining of public and private sectors than is typical of other forms of regulation, owing to regulators' need to intervene at multiple stages of the production process as well as to the degree of ambiguity over what constitutes "good management."

Date: Tuesday, March 4, 2003
Time: 4:30 – 5:30 pm
Place: 370 Jon M. Huntsman Hall
On Penn's campus: 3730 Walnut Street

NO REGISTRATION REQUIRED

Direct questions to:
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http://www.sas.upenn.edu/earth/ies/seminar_business_env.html